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**Basel Convention on the Control of  
Transboundary Movements of  
Hazardous Wastes and their Disposal**

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English only



**Rotterdam Convention on the Prior  
Informed Consent Procedure for  
Certain Hazardous Chemicals and  
Pesticides in International Trade**



**Stockholm Convention on  
Persistent Organic Pollutants**

**Ad hoc joint working group on enhancing cooperation and  
coordination among the Basel, Rotterdam and Stockholm conventions**

Third meeting

Rome, 25-28 March 2008

Item 3 of the provisional agenda\*

**Consideration of the intersessional work undertaken by the  
members of the ad hoc joint working group and by the  
Secretariats of the Basel, Rotterdam and Stockholm Convention**

## **Resource mobilization**

### **Note by the secretariats**

The annex to the present note contains a list of references to decisions and studies prepared under the Basel, Rotterdam and Stockholm Conventions as well as under the Secretariat of the Strategic Approach to International Chemicals Management and the Global Environment Facility on the matter of resource mobilization.

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\* UNEP/FAO/CHW/RC/POPS/JWG.3/1.

## Annex

### List of references to relevant material on resource mobilization prepared under the Basel, Rotterdam and Stockholm Conventions

#### A. Basel Convention

##### Relevant decisions

###### *Conference of the Parties*

- COP VIII/34: Resource mobilization and sustainable financing
- COP VII/40: Sustainable financing

###### *Open-ended Working Group*

- OEWG VI/24: Resource mobilization and sustainable financing: review of the implementation of decision VIII/34
- OEWG V/4: Resource mobilization
- OEWG V/5: Sustainable financing
- OEWG IV/9: Sustainable financing
- OEWG IV/15: Resource mobilization

##### Relevant studies and related documentation

- Mobilizing resources for a cleaner future: executive summary (UNEP/CHW.7/26/Add.3), available online at <http://www.basel.int/meetings/cop/cop7/docs/26a3e.pdf>
- Mobilizing resources for a cleaner future: implementing the Basel Convention (UNEP/CHW.7/INF/8), available online at <http://www.basel.int/meetings/cop/cop7/docs/i08e.pdf>
- Sustainable financing (UNEP/CHW/OEWG/5/2/Add.6), online at <http://www.basel.int/meetings/oewg/oewg5/docs/02a6e.pdf>
- Examination of article 14 of the Basel Convention, with a view to determining the legal and institutional feasibility of appropriate and predictable financial mechanisms of the Basel Convention (UNEP/CHW/OEWG/5/INF/16), available online at <http://www.basel.int/meetings/oewg/oewg5/docs/i16e.pdf>
- Executive summary of the study on the examination of article 14 of the Basel Convention with a view to determining the legal and institutional feasibility of appropriate and predictable financial mechanism of the Convention, contained in the annex on document of sustainable financing (UNEP/CHW.8/10), available online at <http://www.basel.int/meetings/cop/cop8/docs/10e.pdf>
- Resource mobilization and sustainable financing: review of the implementation of decision VIII/34 (UNEP/CHW/OEWG/6/24), available online at <http://www.basel.int/meetings/oewg/oewg6/docs/24e.pdf>
- “Our sustainable future”: Generic funding rationale (UNEP/CHW.8/INF/33), available online at <http://www.basel.int/meetings/oewg/oewg6/docs/24e.pdf>

##### Other resource mobilization tools and information on website (<http://www.basel.int/industry/resmob.html>), including:

- Pilot resource mobilization workshop agenda and presentations (3 to 7 December 2006, Nairobi, Kenya), available online at <http://www.basel.int/industry/wkshop-071206/index.html>
- Donor profiles and fact sheets, available online at <http://www.basel.int/industry/factsheets/index.html>
- Chart of potential co-financing and project financing bodies, available online at <http://www.basel.int/industry/donor-database.doc>

**B. Rotterdam Convention**Relevant decisions adopted by the Conference of the Parties

- RC-1/5: Financial mechanisms
- RC-3/5: Financial mechanisms

Relevant studies and related documentation

- Study of possible options for lasting and sustainable financial mechanisms (UNEP/FAO/RC/COP.2/10), available online at <http://www.pic.int/cops/cop2/k11/English/K0581694%20COP%202-10.pdf>
- Study of possible options for lasting and sustainable financial mechanisms (UNEP/FAO/RC/COP.3/13), available on line at: <http://www.pic.int/cops/cop3/m13/English/K0652121%20COP-3-13.doc>

**C. Stockholm Convention**Relevant decisions adopted by the Conference of the Parties

- SC-1/9: Guidance to the financial mechanism
- SC-1/10: Review of the financial mechanism
- SC-2/10: Financial resources and mechanism
- SC-2/11: Additional guidance to the financial mechanism
- SC-3/14: Resource mobilization
- SC-3/16: Additional guidance to the financial mechanism
- SC-3/17: Second review of the financial mechanism

Relevant studies and related documentation

- Draft report on the first review of the financial mechanism (document UNEP/POPS/COP2/INF/9), available online at: [http://www.pops.int/documents/meetings/cop\\_2/meetingdocs/270406/INF9%20K0651138%20COP2-INF9.doc](http://www.pops.int/documents/meetings/cop_2/meetingdocs/270406/INF9%20K0651138%20COP2-INF9.doc)
- Other possible sources of funding or entities to facilitate the adequacy and sustainability of funding for activities relevant to the implementation of the Convention (document UNEP/POPS/COP3/INF/12) available on line at: [http://www.pops.int/documents/meetings/cop\\_3/meetingdocs/inf12/K0761292%20POPS-COP3-INF12.doc](http://www.pops.int/documents/meetings/cop_3/meetingdocs/inf12/K0761292%20POPS-COP3-INF12.doc)

**D. Global Environment Facility**

The Global Environment Facility funds full-sized, medium-sized projects, and enabling activities that are to be reviewed and cleared by its Secretariat for GEF eligibility. All projects presented for approval must be country-driven and have the endorsement of the operational focal point. Resource mobilization tools and information can be found in the following documents:

- Focal Area strategies and strategic programming for GEF-4, Annex 6 available online at: [http://www.gefweb.org/uploadedFiles/Focal%20Area%20Strategies\\_10.04.07.pdf](http://www.gefweb.org/uploadedFiles/Focal%20Area%20Strategies_10.04.07.pdf)
- Operational Program on Persistent Organic Pollutants available online at: [http://www.gefweb.org/Operational\\_Policies/Operational\\_Programs/C.22.Inf.4\\_OP\\_on\\_POPs\\_FINA\\_Ldraft%20for%20posting.doc](http://www.gefweb.org/Operational_Policies/Operational_Programs/C.22.Inf.4_OP_on_POPs_FINA_Ldraft%20for%20posting.doc)
- Project templates and guidelines available at : <http://www.gefweb.org/interior.aspx?id=16674>

**E. Strategic approach to International Chemicals Management (SAICM)**

The Strategic approach to International Chemicals Management (SAICM) is an international policy framework to foster the sound management of chemicals. Initial capacity building activities for the implementation of SAICM objectives are supported by the Quick Start Programme (QSP). The International Conference on

Chemicals Management decided to establish a voluntary, time-limited trust fund to provide seed money to support the objective and strategic priorities of the QSP. Developing countries and countries with economies in transition are eligible for support from the trust fund. Information relevant to the QSP trust fund can be found on the following website:

- <http://www.chem.unep.ch/saicm/qsptf.htm>
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